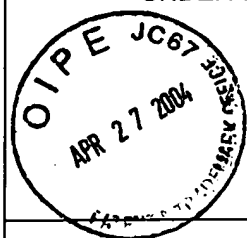


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98



PTO-1449 FORM

SHEET 1 OF 4

ATTORNEY DOCKET NO.:

R0368-04000

SERIAL NO.:

10/716,329

APPLICANTS:

Burbank et al.

EXAMINER:

Not yet assigned

FILING DATE:

November 18, 2003

GROUP ART UNIT:

3731

## U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (if appropriate)
	*A1	3,209,753	10/65	Hawkins et al.		
	*A2	3,411,505	11/68	Nobis		
	*A3	4,292,960	10/81	Paglione		
	*A4	4,428,374	1/84	Auburn		
	*A5	4,428,379	1/84	Robbins et al.		
	*A6	4,509,528	4/85	Sahota		
	*A7	4,650,466	1/87	Luther		
	*A8	4,757,823	7/88	Hofmeister et al.		
	*A9	4,991,588	2/91	Pflueger et al.		
	*A10	4,994,069	2/91	Ritchart et al.		
	*A11	5,037,433	8/91	Wilk et al.		
	*A12	5,081,997	1/92	Bosley, Jr. et al.		
	*A13	5,108,408	4/92	Lally		
	*A14	5,201,314	4/93	Bosley et al.		
	*A15	5,226,911	7/93	Chee et al.		
	A16	5,261,409	11/93	Dardel		
	*A17	5,275,166	1/94	Vaitenkunas et al.		
	*A18	5,289,831	3/94	Bosley		
	*A19	5,336,229	8/94	Noda, Wayne A.		
	*A20	5,336,231	8/94	Adair		
	*A21	5,383,922	1/95	Zipes et al.		
	*A22	5,456,693	10/95	Conston et al.		
	*A23	5,458,596	10/95	Lax et al.		
	*A24	5,488,958	2/96	Topel et al.		
	*A25	5,496,331	3/96	Xu et al.		
	*A26	5,507,744	4/96	Tay et al.		
	*A27	5,542,944	8/96	Bhatta		
	*A28	5,549,624	8/96	Mirigian et al.		
	*A29	5,549,824	7/96	Trumpf et al.		
	*A30	5,556,396	9/96	Cohen et al.		
	*A31	5,562,680	10/96	Hasson		
	*A32	5,570,692	11/96	Morinaga		
	*A33	5,588,960	12/96	Edwards et al.		
	*A34	5,614,204	1/97	Cochrum		
	*A35	5,658,299	8/97	Hart		
	A36	5,662,676	9/97	Koninckx		
	*A37	5,662,680	9/97	Desai		
	*A38	5,665,096	9/97	Yoon		
	*A39	5,672,153	9/97	Lax et al.		
	*A40	5,672,172	9/97	Zupkas		
	*A41	5,691,314	11/97	Hodgen		
	*A42	5,697,937	12/97	Toma		

<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> UNDER 37 CFR §1.56, §1.97, and §1.98  <b>PTO-1449 FORM</b>  SHEET 2 OF 4	ATTORNEY DOCKET NO.:  R0368-04000	SERIAL NO.:  10/716,329
	APPLICANTS:  Burbank et al.	EXAMINER:  Not yet assigned
	FILING DATE:  November 18, 2003	GROUP ART UNIT:  3731

**U.S. PATENT DOCUMENTS CONT'D**

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	*A43	5,697,942	12/97	Palti		
	*A45	5,702,407	12/97	Kaji		
	*A46	5,713,896	2/98	Nardella		
	*A47	5,713,942	2/98	Stern et al.		
	*A48	5,715,832	2/98	Koblish et al.		
	*A49	5,716,389	2/98	Walinsky et al.		
	*A50	5,720,743	2/98	Bischof et al.		
	*A51	5,746,750	5/98	Prestel et al.		
	*A52	5,749,879	5/98	Middleman et al.		
	*A53	5,759,154	6/98	Hoyns		
	*A54	5,766,135	6/98	Terwilliger		
	*A55	5,776,129	7/98	Mersch		
	*A56	5,797,397	8/98	Rosenberg		
	*A57	5,800,378	9/98	Edwards et al.		
	*A58	5,817,022	10/98	Vesely		
	*A59	5,836,906	11/98	Edwards		
	*A60	5,840,033	11/98	Takeuchi		
	*A61	5,895,386	4/99	Odell et al.		
	*A62	5,895,395	4/99	Yeung		
	*A63	5,899,861	5/99	Friemel et al.		
	*A64	5,904,651	5/99	Swanson et al.		
	*A65	5,910,484	6/99	Haupt, Jr.		
	*A66	5,911,691	6/99	Mochizuki et al.		
	*A67	5,921,933	7/99	Sarkis et al.		
	*A68	5,941,889	8/99	Cermak		
	*A69	5,979,453	11/99	Savage et al.		
	*A70	5,980,534	11/99	Gimpelson		
	*A71	6,013,088	1/00	Karavidas		
	*A72	6,015,541	1/00	Greff et al.		
	*A73	6,019,724	2/00	Gronningsaeter et al.		
	*A74	6,032,673	3/00	Savage et al.		
	*A75	6,033,398	3/00	Farley et al.		
	*A76	6,034,477	3/00	Peeters et al.		
	*A77	6,035,238	3/00	Ingle et al.		
	*A78	6,039,693	3/00	Seward et al.		
	*A79	6,045,508	4/00	Hossack et al.		
	*A80	6,066,139	5/00	Ryan et al.		
	*A81	6,077,257	6/00	Edwards et al.		
	*A82	6,096,051	8/00	Kortenbach et al.		
	*A83	6,106,473	8/00	Violante et al.		
	*A84	6,186,947	2/01	Ouchi		

<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> UNDER 37 CFR §1.56, §1.97, and §1.98  <b>PTO-1449 FORM</b>  SHEET 3 OF 4				ATTORNEY DOCKET NO.:  R0368-04000		SERIAL NO.:  10/716,329	
				APPLICANTS:  Burbank et al.		EXAMINER:  Not yet assigned	
				FILING DATE:  November 18, 2003		GROUP ART UNIT:  3731	

U.S. PATENT DOCUMENTS CONT'D						
† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (if appropriate)
	*A85	6,210,330	4/01	Tepper		
	*A86	6,231,515	5/01	Moore et al.		
	*A87	6,254,601	7/01	Burbank et al.		
	*A88	6,261,234	7/01	Lin		
	*A89	6,280,441	8/01	Ryan		
	*A90	6,368,340	4/02	Malecki et al.		
	*A91	6,425,867	7/02	Vaezy et al.		

FOREIGN PATENT DOCUMENTS					
† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
	B1	SU 1 072 859 a	2/84	SOVIET UNION	
	*B2	EP 0 472 368	2/92	EPO	
	*B3	EP 0 598 579	5/94	EPO	
	*B4	WO 95/02370	1/95	PCT	
	*B5	WO 95/02371	1/95	PCT	
	B6	WO 96/05776	2/96	WIPO	
	*B7	WO 96/10365	4/96	WIPO	
	B8	GB 2 302 025	1/97	GREAT BRITAIN	
	*B9	GB 2 311 468 A	1/97	GREAT BRITAIN	
	*B10	DE 195 28 440 A	2/97	GERMANY	
	*B11	WO 97/27897	8/97	WIPO	
	*B12	WO 97/47246	12/97	WIPO	
	*B13	WO 98/19713	5/98	PCT	
	*B14	WO 99/00057	1/99	WIPO	
	*B15	EP 1 072 282	1/01	EPO	
	*B16	DE 200 22 012 U1	5/01	GERMANY	
	*B17	WO 02/39904 A1	5/02	WIPO	

OTHER DOCUMENTS		
† EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
	*C1	Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Embolization With Autologous Clot, Oxygel and Gelfoam in Domestic Swine", <i>Investigative Radiology</i> , May-June 1977, Vol. 12, pp. 273-290.
	*C2	Bateman, William M.D., "Treatment of intractable menorrhagia by bilateral uterine vessel, Interruption", <i>Am. J. Obst. &amp; Gynec.</i> 89(6):825-827 (July 15, 1964).
	*C3	Brigato, G. et al., "A Noninvasive Instrumental Method in Severe Postpartum Hemorrhages", <i>Minerva Ginecologica</i> 50(7-8):337-339 (1998).

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	APPLICANTS:  Burbank et al.	EXAMINER:  Not yet assigned
	FILING DATE:  November 18, 2003	GROUP ART UNIT:  3731

**OTHER DOCUMENTS CONT'D**

† EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
	*C4	Brohim, Robert M. et al., "Development of Independent Vessel Security After Ligation With Absorbable Sutures or Clips", <i>The American Journal of Surgery</i> , Mar. 1993, Vol. 165, pp. 345-348.
	*C5	Burbank, Fred et al., "Uterine Artery Occlusion by Embolization or Surgery for the Treatment of Fibroids: A Unifying Hypothesis-Transient Uterine Ischemia", <i>The Journal of the American Association of Gynecologic Laparoscopists</i> , November 2000, Vol. 7, No. 7 Supplemental, pp. S3-S49.
	*C6	Fuchs, Karl, "Afibrinogenemia Treated by Ligation of Uterine Arteries", <i>Gynecologic</i> 148:407-411 (1959).
	*C7	Garza Leal, J. et al., "Myoma Treatment by Transient Uterine Ischemia", <i>The Journal of the American Association of Gynecologic Laparoscopists</i> 7(3):S31 (August 2000).
	*C8	Hay, D.L. et al., "Hemostasis in Blood Vessels After Ligation", <i>Am. J. Obstet. Gynecol.</i> , March 1989, 160:3, pp. 737-739.
	*C9	Hunerbein, M. et al., "Endoscopic Ultrasound-Guided Real Time Biopsy of Peri-Intestinal Tumors", <i>Surgical Technology International VII</i> , 1998, pp. 91-95.
	*C10	O'Leary, James A., M.D., "Uterine Artery Ligation in the Control of Postcesarean Hemorrhage", <i>The Journal of Reproductive Medicine, Inc.</i> , 40(3):189-193 (March 1995).
	*C11	O'Leary, James L., M.D. et al., "Uterine artery ligation in the control of intractable postpartum hemorrhage", <i>Am. J. Obst. &amp; Gynec.</i> 94(7):920-924 (April 1, 1966).
	*C12	Ravina, J.H. et al., "Arterial Embolisation to Treat Uterine Myomata", <i>The Lancet</i> , Sept. 9, 1995, Vol. 346, No. 8976, pp. 671-672.
	*C13	Schaefer, C.J. et al., "Absorbable Ligating Clips", <i>Surg. Gynecol. Obstet.</i> , 1982, 154:513-516.
	*C14	"Mick 200-TP Applicator Package", Mick Radio-Nuclear Instruments, Inc., advertisement.
	*C15	"Multiplanar Biopsy Transverse Scan", Bruel & Kjaer Medical Systems, Inc., advertisement.
	*C16	"Seeding Device – Proscan Urologic Ultrasound Imaging System", Teknar, advertisement.
	*C17	"Sonopsy Ultrasound Guided Breast Biopsy, NeoVision, advertisement.
	*C18	"Transrectal Biopsy of the Prostrate Gland", Bruel & Kjaer Medical Systems, Inc., advertisement.

EXAMINER'S SIGNATURE	DATE CONSIDERED
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† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609.  
 Line through citation if not in conformance and not considered.  
 Include copy of this form in next communication to applicant.

\* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).